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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **HAEMATSU, Hitoshi**

Group Art Unit: **2822**

Serial No.: **10/659,337**

Examiner: **Pamela E. PERKINS**

Filed: **September 11, 2003**

P.T.O. Confirmation No.: **7094**

For: **SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF**

**INFORMATION DISCLOSURE STATEMENT AND**  
**STATEMENT PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 28, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that

the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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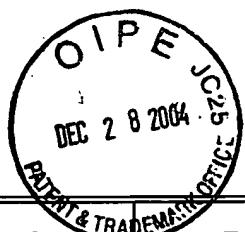
GNS/mla  
Atty. Docket No. **020721A**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: PTO 1449  
5 References  
Copy of Japanese Patent Office Action for corresponding Japanese Patent Application  
No. 2001-165701 dated September 28, 2004



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. <b>020721A</b>	Serial No. <b>10/659,337</b>
	Applicant(s): <b>HAEMATSU, Hitoshi</b>	
	Filing Date: <b>September 11, 2003</b>	Group Art Unit: <b>2822</b>

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA					
	AB					
	AC					
	AD					
	AE					

#### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AF	4-139729	05/13/1992	Japan	Abstract
	AG	61-19154	01/28/1986	Japan	Abstract
	AH	8-107120	04/23/1996	Japan	Abstract
	AI	11-26633	01/29/1999	Japan	Abstract
	AJ	8-97214	04/12/1996	Japan	Abstract

#### OTHER DOCUMENTS

	AK	
	AL	
Examiner	Date Considered	